

## Indirect Collection Source Schedule

| Third party                                                                                                                             | Information exchanged to source                                      | Information exchanged from source                      | Purpose                                                                                                         | Law basis                                                                                                           | Notice method                                                                     |
|-----------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|
| Equifax New Zealand Information Services and Solutions Limited                                                                          | Credit enquiry (client or staff name, DOB, address, enquiry purpose) | Credit report, credit history, enquiries on file       | Assess a credit-related application or advice engagement<br>For staff - to assess if meet "fit and proper" test | Credit Reporting Privacy Code 2020; separate credit authority required<br>FMCA s 400 + Standard Licence Condition 1 | Terms of Engagement + Schedule + separate credit authority<br>HR onboarding forms |
| Cloudcheck (Verifi NZ Limited)                                                                                                          | Client identity data (name, DOB, address, document images)           | Verification result, PEP/sanctions screening result    | Meet AML/CFT identity verification obligations                                                                  | Anti-Money Laundering and Countering Financing of Terrorism Act 2009                                                | Terms of Engagement + Schedule                                                    |
| Life and Health Insurers (AIA, Asteron Life, Fidelity Life, Partners Life, Chubb Life, nib, Southern Cross, Lifetime Retirement Income) | Application, client health and financial information                 | Underwriting decision, policy data, claims information | Arrange or assess insurance options                                                                             | Not applicable                                                                                                      | Terms of Engagement + Schedule                                                    |

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|-----------------------------------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------------------|-----------------------------------------------------------|----------------|--------------------------------|
| Lenders (ANZ, ASB, BNZ, Westpac, Kiwibank, TSB, SBS, Co-operative Bank, Heartland, etc) | Client application, account details, loan purpose         | Credit decisions, approval terms, account information | Source and settle a home loan                             | Not applicable | Terms of Engagement + Schedule |
| KiwiSaver providers (Generate, Booster, Milford, Fisher Funds, ANZ, Pathfinder, AMP)    | Member identity, contribution and instruction information | Holdings, contributions, transactions, fund balance   | Advise on KiwiSaver                                       | Not applicable | Terms of Engagement + Schedule |
| Investment platforms (Consilium, Booster, AMP, NZ Funds, Select Wealth, Synergy)        | Client identity, instruction data                         | Holdings, transactions, performance data              | Provide investment advice and ongoing portfolio reporting | Not applicable | Terms of Engagement + Schedule |

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|---------------------------------------------------------------------------|---------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------|----------------|-------------------------------------------------------|
| Employer or business client (Employee Benefits)                           | Advice, reports, scheme materials delivered to the employer               | Employee personal details, role, salary, benefit elections                                                                      | Administer employee benefit schemes and provide advice to scheme members                             | Not applicable | Employer notice pack + Standalone notice to employees |
| Other individuals (co-borrowers, guarantors, beneficiaries, life assured) | Identity, contact details, financial position, supplied by primary client |                                                                                                                                 | Joint applications, guarantees, policy administration and claim payment                              | Not applicable | Standalone notice                                     |
| Previous advisers or accountants                                          | Signed authority                                                          | Historic advice records, policy details, correspondence and/or historic financial statements, tax returns, notes and workpapers | Provide continuity of advice and reduce duplication<br>Provide accounting, tax or compliance service | Not applicable | Terms of Engagement + Schedule + signed authority     |

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|------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|------------------------------------------------|-----------------------------------------------------------|
| Referrers                                                                                                                                | Client name, contact details, limited context for the introduction |                                                                                                                          | Make first contact and understand the reason for referral        | Not applicable                                 | Referrer first-contact notice                             |
| Government agencies (Inland Revenue, ACC, ATO others as relevant)                                                                        | Authority to act, client identifiers                               | Annual income, expenses, Tax position, child/family support, student loans, tax returns, correspondence, ACC paid or due | Provide accounting, tax, or compliance services                  | Tax Administration Act 1994 (where applicable) | Terms of Engagement + Schedule + signed authority         |
| Lifetime group entities (Lifetime Property Accounting and Lifetime Investments)                                                          | Client identity, advice context, financial information             | Client identity, advice context, financial information                                                                   | Provide related services across the Lifetime group where engaged | Privacy Act 2020                               | Terms of Engagement + Privacy policy + Schedule reference |
| Other Professional Service providers (Craigs Investment Partners Limited, Stuart Carlyn Limited, other investment managers, real estate) | Authority to receive information or direct request from client     | Investment holdings and income<br>Details of client transactions, lending or invoices                                    | Provide accounting, tax, or compliance services                  | Not applicable                                 | Terms of Engagement + Schedule + signed authority         |

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|-------------------------------------------------------------------------------|-----------------------------------|--------------------------------------|------------------------------|----------------|--------------------------------|
| agents, lawyers, tax advisers, insurance and mortgage advisers )              |                                   |                                      |                              |                |                                |
| Custodians (FNZ, Asset Custodial Nominees Limited, Porfolio Nominees Limited) | Client identity, instruction data | Investment holdings, income and fees | Provision of advice services | Not applicable | Terms of Engagement + Schedule |